



**AFFORDABLE 5G**

# RAN OPTIMIZATION THROUGH CONTROL LOOP AUTOMATION

5G-LOGINNOV joint technical  
workshop

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# RAN optimization through control loop automation (1)

## Objectives:

Adopt **open software solutions** and embrace the concept of **Zero-Touch Automation (ZTA)**.

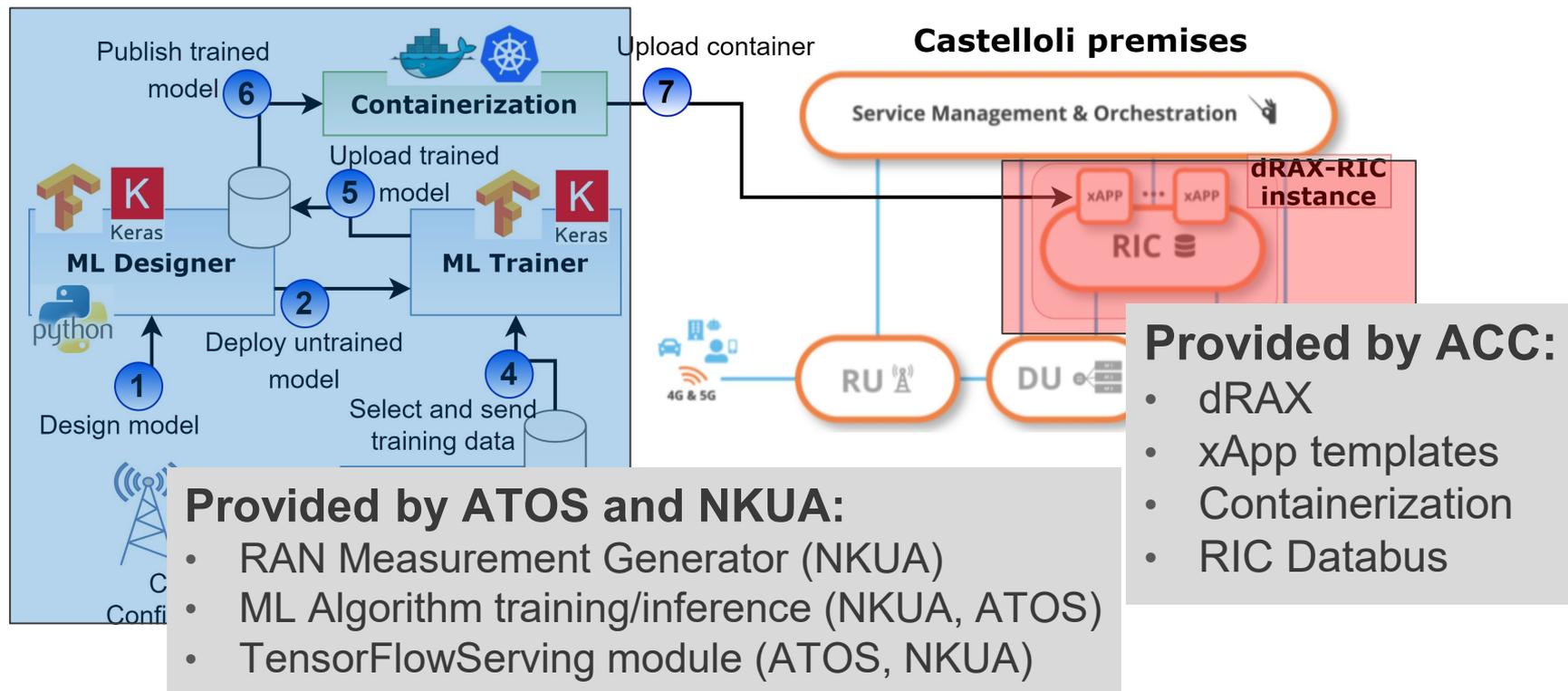
- ***Towards Open-Source Software:*** Adoption of the open-source software solutions following O-RAN specs with respect to AI/ML workflow (control loop 2).
- ***Towards ZTA:*** Designing, Implementing and Testing of an accurate Deep Reinforcement Learning (DRL) algorithm, combined with automated model serving (TensorFlowServing-TFS) for RAN ZTA and optimization.

# RAN optimization through closed-loop automation (2)

## Key Features:

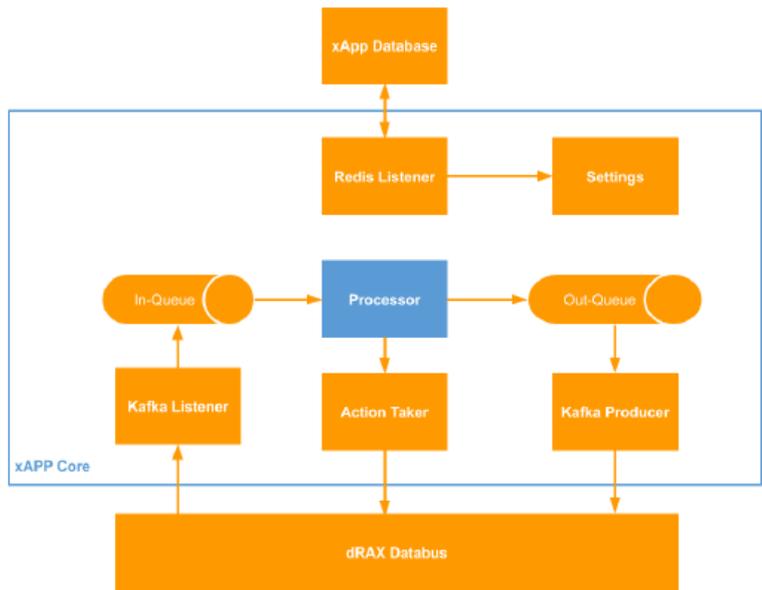
- 4G/5G RAN configuration through the Near-RT RIC (dRAX).
- Implementation of a 5G-compliant simulator for RAN measurement generation (3GPP urban channel models).
- Implementation of an O-RAN compliant xApp for network optimization.
- Reconfiguration of O-RU transmit power for throughput maximization based on the outcome of a DRL model.
- Support and integration of an automated model serving tool (TFS) for real-time inference at the dRAX (control loop 2).
- Practical implementation of a theoretically-validated DRL model in commercial product (dRAX).
- Real deployment in Castelloli premises and network.

# Steps in a nutshell

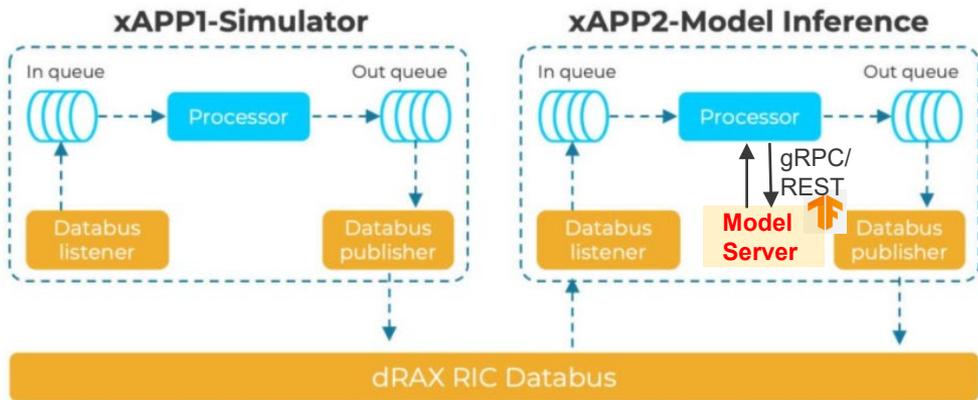


# xApps Outline

## (i) xApp Internal Structure

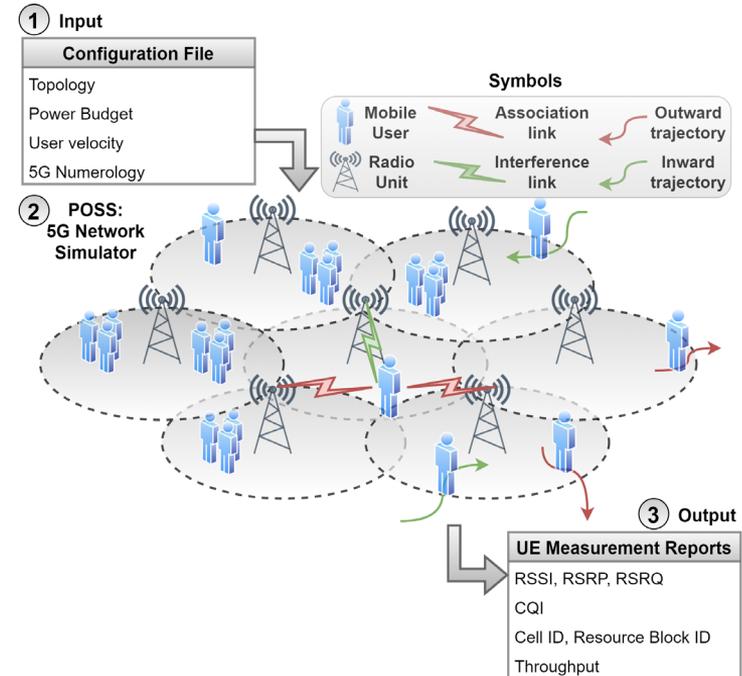


## (ii) Data generation and model inference cycle



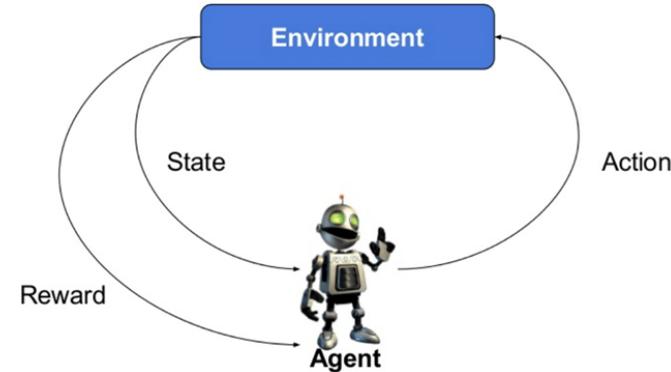
# POSS: a Python Open-Source Simulator for 5G systems

- A general-purpose flexible 5G simulator is built in the form of xApp.
- Flexible topology, power budget, user characteristics, 5G numerology.
- Respects the maximum/minimum power budget limitations.
- Interference, channel and bit-rate calculations based on 3GPP/5G specifications of UMa/UMi.
- Association based on maximum throughput criterion.
- Random Walk and Handover calculations.
- Outputs 7 metrics:
  - Receive Strength Signal Indicator (**RSSI**), Reference Signal Received Power (**RSRP**), Reference Signal Received Quality (**RSRQ**), Channel Quality Indicator (**CQI**), associated **RU ID**, associated **PRB ID** and UE experienced **throughput**



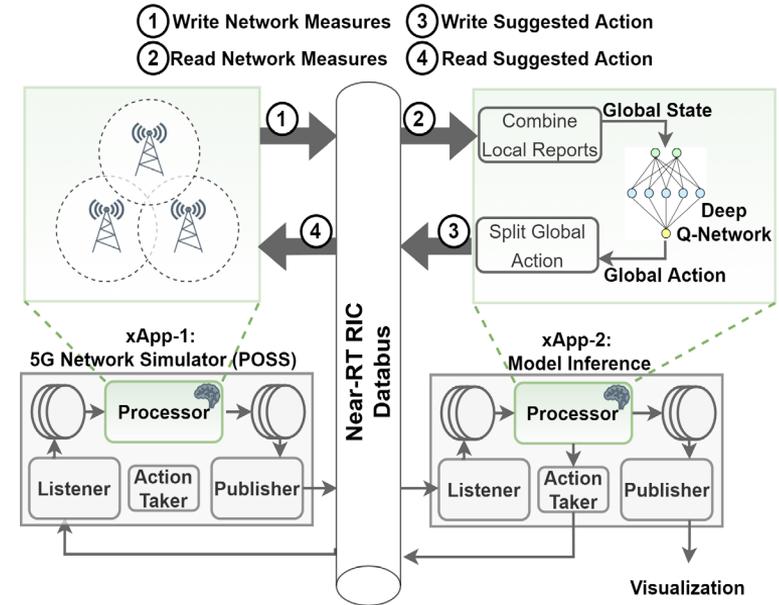
# Throughput Optimization algorithm

- Network-wide throughput maximization through power regulation.
- Proper adjustment of power levels, while simultaneously mitigating the co-channel interferences.
- DRL terminology: The centralized **agent** (the near-RT RIC) interacting with a telecom **environment** (cellular areas) aims to maximize the **objective function** (the network throughput) by observing the **state space** (the measurement reports) and taking **actions** (power level adjustments).
- Following a trial-and-error approach, the agent gradually converges in power control policy that ensures increased network utility.



# Network Automation through xApps

- Practical implementation and interaction of the POSS and the DRL agent was deployed in the form of xApps in the near-RT RIC.
- **xApp1**: generates **5G-compliant measurement reports** of UEs in a configured network topology.
- **xApp2**: pre-trained DRL agent. It reads the **measurement reports** from the Databus and infers the DRL model to get the **corrective power levels**.
- This process is **continuously repeated** to visualize the time-course of the model inference performance.
- Diverse objective-specific AI/ML models can be deployed, dockerized and stored in a dedicated ML catalogue for potential future inference.

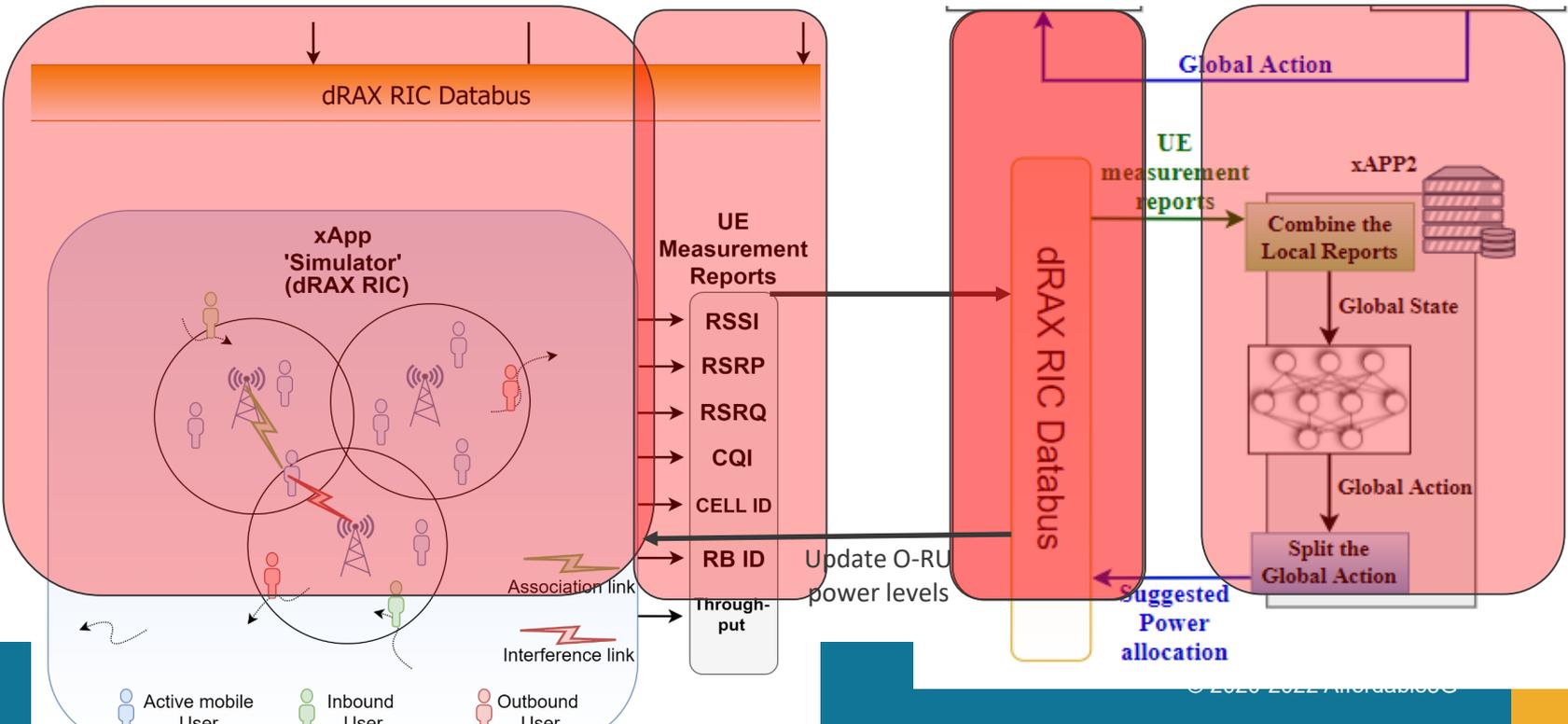


# A closer view on xApps

## xAPP-1:

## xAPP-2:

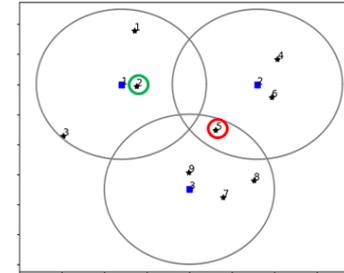
- 1. Network Snapshot
- 2. Instantaneous
- 5. Publish Suggestion
- Model Inference



# xApp1: Simulator Functionalities

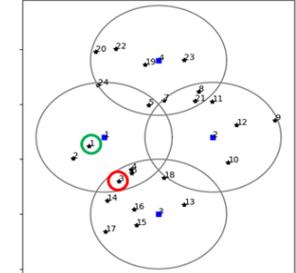
- 3 configuration scenarios of the simulator are considered, parameterized as full-capacity scenarios:
  - with a **3-cell topology** and **numerology 5** (3 PRBs per RU, each one with bandwidth **5.76 MHz**)
  - with a **4-cell topology** and **numerology 4** (6 PRBs per RU, each one with bandwidth **2.88 MHz**)
  - with a **5-cell topology** and **numerology 3** (12 PRBs per RU, each one with bandwidth **1.44 MHz**).
- Heterogeneous demand points: fixed reception points/PCs (0m/s), pedestrians (1m/s) and vehicles (10–20m/s).
- Average UE data rate is positively correlated with the numerology.
- UE capacity decreases with the numerology

A. Topology (i), Numerology 5



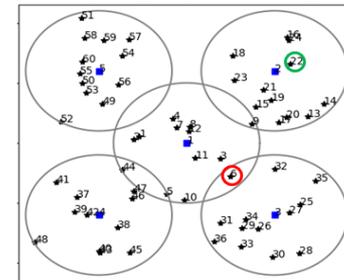
● Best-conditioned user: 30.5 Mbps  
● Worst-conditioned user: 4.9 Mbps

B. Topology (ii), Numerology 4



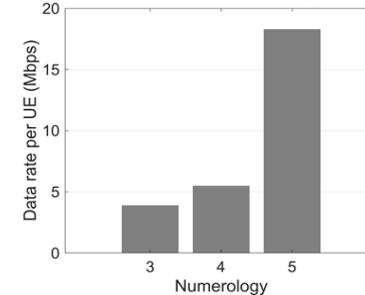
● Best-conditioned user: 12.0 Mbps  
● Worst-conditioned user: 2.3 Mbps

C. Topology (iii), Numerology 3



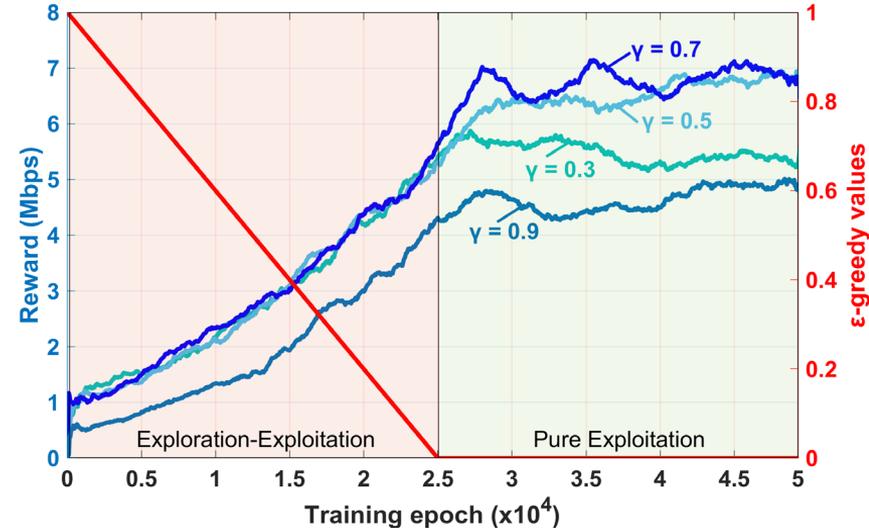
● Best-conditioned user: 7.9 Mbps  
● Worst-conditioned user: 1.2 Mbps

D. Data rate vs. Numerology

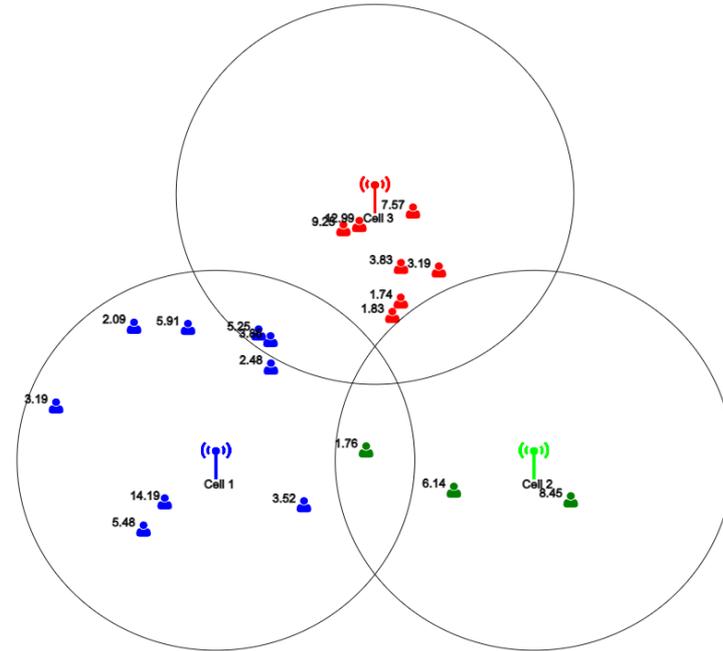


# xApp2: Training & Evaluating the DRL agents

- **Offline training** prior deployment as xApp.
- Training process was performed with **simulated measurements** by the POSS simulator.
- In each training episode, the initial power levels are set to the **average power level**.
- Discount factor ( $\gamma$ ) is associated with the extent to which the agent prefers **immediate ( $\gamma=0$ ) or future ( $\gamma=1$ )** rewards.
- Learning curve of the training **with  $\epsilon$ -decaying**.



# Overall Assessment: Integrating xApp1/xApp2



# Conclusions & Take-aways

## Main Outcomes:

- Development of a [general-purpose 5G simulator](#) based on 3GPP specs.
- Coupling between [O-RAN optimization & model serving](#) capabilities towards reaching ZTA.
- [General development framework](#) to support multiple xApps.
- [Synergy](#) between industrial and academic partners (ACC-ATOS-NKUA).
- [Knowledge transfer](#) from published research papers to commercial software.

## Future Extensions:

- Replacement of the xApp-Simulator with [real eNB/gNB and measurements](#).
- Accuracy improvements, addressing also the [Energy-Efficiency](#) aspect.
- Extension of the open source toolset, especially for the [training phase automation](#) (Model Orchestration Platforms, AirFlow adoption)

# Partners



# GET IN TOUCH



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# THANK YOU FOR YOUR ATTENTION